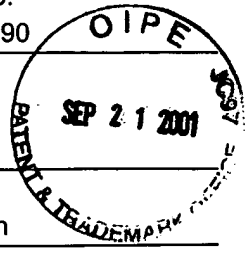


Att #4

<b>Form PTO-1449</b> (modified)  List of Patents and Publications for Applicant's  <b>INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)		Atty. Docket No. INRP:021USC1/SLH	Serial No. 09/922,490
		Applicants Richard J. Cristiano Dao Nguyen	
		Filing Date: August 3, 2001	Group: Unknown
U.S. Patent Documents See Page 1	Foreign Patent Documents See Page 1	Other Art See Page 1	



### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
A	A1	5,399,346	03/21/95	Anderson <i>et al.</i>	424	93.21	3/30/94
V	A2	5,604,090	2/18/97	Alexander, <i>et al.</i>	435	5	6/6/94
	A3	5,747,469	5/5/98	Roth, <i>et al.</i>	514	44	4/25/94

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO 95/33824	12/14/95	PCT			

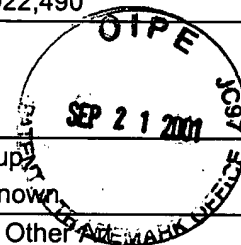
### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
P	C1	Baichwal and Sugden, "Vectors for gene transfer derived from animal DNA viruses: Transient and stable expression of transferred genes", <i>In: Kucherlapati R, ed. Gene transfer. New York: Plenum Press, pp. 117-148, 1986.</i>
	C2	Bargonetti <i>et al.</i> , "Wild-type but not mutant p53 immunopurified proteins bind to sequences adjacent to the SV40 origin or replication, " <i>Cell</i> , 65:1083-1091, 1991.
	C3	Benvenisty and Neshif, "Direction introduction of genes into rats and expression of the genes", <i>Proc. Nat'l Acad. Sci. USA</i> , 83:9551-9555, 1986.
	C4	Bitter <i>et al.</i> , "Expression and secretion for yeast", <i>Methods in Enzymol.</i> , 153:516-544, 1987.
	C5	Cancerlit Database, AN 96601955, Stevens <i>et al.</i> , <i>Pro. Annu. Meet Am Assoc Cancer Res</i> , 36:A2518, abstract, 1995.
	C6	Cancerlit Database, AN 97604989, Cerniglia <i>et al.</i> , <i>Pro Annu. Meet Am Assoc Cancer Res</i> , 37:A2344, abstract, 1996.
	C7	Cancerlit Database, AN 97604990, Zeng <i>et al.</i> , <i>Pro. Annu. Meet Am Assoc Cancer Res</i> , 37:A2345, abstract, 1996.

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Exam. Init.	Ref. Des.	Citation
	C8	Casey <i>et al.</i> , "Growth suppression of human breast cancer cells by the introduction of a wild-type p53 gene", <i>Oncogene</i> , 6:1791-1797, 1991.
	C9	Chang <i>et al.</i> , "Foreign gene delivery and expredion in hepatocytes using a hepatitis B virus vector", <i>Hepatology</i> , 14:134A, 1991.
	C10	Chen and Okayama, "High-efficiency transfection of mammalian cells by plasmid DNA", <i>Mol. Cell Biol.</i> , 7:2745-2752, 1987.
	C11	Coffin, "Retroviridae and their replication", <i>In: Virology</i> , Fields <i>et al.</i> (eds.), New York: Raven Press, pp. 1437-1500, 1990.
	C12	Couch <i>et al.</i> , "Immunization with types 4 and 7 adenovirus by selective infection of the intestinal tract", <i>Am. Rev. Resp. Dis.</i> , 88:394-403, 1963.
	C13	Coupar <i>et al.</i> , "A general method for the construction of recombinant vaccinia virus expressing multiple foreign genes", <i>Gene</i> , 68:1-10, 1988.
	C14	Cusack <i>et al.</i> , "High levels of gene transduction in human lung tumors following intralesional injection of recombinant adenovirus, " <i>Cancer Gene Ther.</i> , 3(4):345-249, 1996.
	C15	Diller <i>et al.</i> , "p53 Functions as a Cell Cycle Control Protein in Osteosarcomas", <i>Mol. Cell Biol.</i> , 10(11):5772-5781, 1990.
	C16	Dubensky <i>et al.</i> , "Direct transfection of viral and plasmid DNA into the liver or spleen of mice", <i>Proc. Nat. Acad. Sci. USA</i> , 81:7529-7533, 1984.
	C17	Eastman, "Activation of programmed cell death by anticancer agents: cisplatin as a model system, " <i>Cancer Cells</i> , 2:275-280, 1990.
	C18	Fechheimer <i>et al.</i> , "Transfection of mammalian cells with plasmid DNA by scrape loading and sonication loading", <i>Proc. Nat'l Acad. Sci. USA</i> , 84:8463-8467, 1987.
	C19	Ferkol <i>et al.</i> , "Regulation of the phosphoenolpyruvate carboxykinase/human factor IX gene introduced into the livers of adult rats by receptor-mediated gene transfer, " <i>FASEB J.</i> , 7:1081-1091, 1993.
	C20	Fields <i>et al.</i> , "Presence of a potent transcription activating sequence in the p53 portein, " <i>Science</i> , 249:1046-1049, 1990.

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Information Disclosure Statement — PTO-1449 (Modified)

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Richard J. Cristiano  
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Exam. Init.	Ref. Des.	Citation
	C21	Fraley <i>et al.</i> , "Entrapment of a bacterial plasmid in phospholipid vesicles: Potential for gene transfer", <i>Proc. Nat'l Acad. Sci. USA</i> , 76:3348-3352, 1979.
	C22	Freshney, "Animal Cell Culture: A Practical Approach", Second Edition, Oxford/New York, IRL Press, Oxford University Press, 1992.
	C23	Friedmann, "Progress toward human gene therapy", <i>Science</i> , 244:1275-1281, 1989.
	C24	Fritsche <i>et al.</i> , "Induction of nuclear accumulation of the tumor-suppressor protein p53 by DNA-damaging agents", <i>Oncogene</i> , 8:307-318, 1993.
	C25	Gavrieli <i>et al.</i> , "Identification of Programmed Cell Death <i>In Situ</i> Via Specific Labeling of Nuclear DNA Fragmentation", <i>J. Cell Biol.</i> , 119:493-501, 1992.
	C26	Ghosh and Bachhawat, "Targeting of liposomes to hepatocytes", <i>In: Wu G. and C. Wu ed. Liver diseases, targeted diagnosis and therapy using specific receptors and ligands.</i> New York: Marcel Dekker, pp. 87-104, 1991.
	C27	Ghosh-Choudhury, <i>et al.</i> , "Protein IX, a minor component of the human adenovirus capsid, is essential for the packaging of full length genomes", <i>EMBO J.</i> , 6:1733-1739, 1987.
	C28	Gomez-Foix <i>et al.</i> , "Adenovirus-mediated transfer of the muscle glycogen phosphorylase gene into hepatocytes confers altered regulation of glycogen", <i>J. Biol. Chem.</i> , 267:25129-25134, 1992.
	C29	Goodman and Gilman's "The Pharmacological Basis of Therapeutics." 8th ed. Pergammon Press, New York, 1990.
	C30	Gopal, "Gene transfer method for transient gene expression, stable transfection, and cotransfection of suspension cell cultures", <i>Mol. Cell Biol.</i> , 5:1188-1190, 1985.
	C31	Graham and Prevec, "Manipulation of adenovirus vectors." <i>In: E.J. Murray (ed.), Methods in Molecular Biology, Gene Transfer and Expression Protocols</i> , New Jersey: The Humana Press Inc., 109-128, 1991.
	C32	Graham and Van Der Eb, "A new technique for the assay of infectivity of human adenovirus 5 DNA", <i>Virology</i> , 52:456-467, 1973.
	C33	Graham, <i>et al.</i> , "Characteristics of a human cell line transformed by DNA from human adenovirus type 5", <i>J. Gen Virol.</i> 36:59-72, 1977.

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Exam. Init.	Ref. Des.	Citation
	C34	Harland and Weintraub, "Translation of mammalian mRNA injected into <i>Xenopus</i> oocytes is specifically inhibited by antisense RNA", <i>J. Cell Biol.</i> , 101:1094-1099, 1985.
	C35	Hermonat and Muzycska, "Use of adenoassociated virus as a mammalian DNA cloning vector: Transduction of neomycin resistance into mammalian tissue culture cells", <i>Proc. Nat'l Acad. Sci. USA</i> , 81:6466-6470, 1984.
	C36	Hersdorffer <i>et al.</i> , "Efficient gene transfer in live mice using a unique retroviral packaging line", <i>DNA Cell Biol.</i> , 9:717-723, 1990.
	C37	Herz and Gerard, "Adenovirus-mediated transfer of low density lipoprotein receptor gene acutely accelerates cholesterol clearance in normal mice", <i>Proc. Nat'l Acad. Sci. USA</i> 90:2812-2816, 1993.
	C38	Hollstein <i>et al.</i> , "p53 mutations in human cancers", <i>Science</i> , 253:49-53, 1991.
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	C41	Kaneda <i>et al.</i> , "Increased expression of DNA cointroduced with nuclear protein in adult rat liver", <i>Science</i> , 243:375-378, 1989.
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	C43	Kato <i>et al.</i> , "Expression of hepatitis b virus surface antigen in adult rat liver", <i>J. Biol. Chem.</i> , 266:3361-3364, 1991.
	C44	Kaufman, "Induction of endonucleolytic dna cleavage in human acute myelogenous leukemia cells by etoposide, camptothecin, and other cytotoxic anticancer drugs: a cautionary note", <i>Cancer Res.</i> , 49:5870-5878, 1989.
	C45	Klein <i>et al.</i> , "High-velocity microprojectiles for delivering nucleic acids into living cells", <i>Nature</i> , 327:70-73, 1987.
	C46	Le Gal La Salle <i>et al.</i> , "An adenovirus vector for gene transfer into neurons and glia in the brain", <i>Science</i> 259:988-990, 1993.

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Information Disclosure Statement — PTO-1449 (Modified)

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List of Patents and Publications for Applicant's		Applicants Richard J. Cristiano Dao Nguyen	
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Exam. Init.	Ref. Des.	Citation
N	C47	Levrero <i>et al.</i> , "Defective and nondefective adenovirus vectors for expressing foreign genes <i>in vitro</i> and <i>in vivo</i> ", <i>Gene</i> , 101:195-202, 1991.
	C48	MacGregor <i>et al.</i> , "Histochemical staining of clonal mammalian cell lines expressing <i>E. coli</i> beta galactosidase indicates heterogeneous expression of the bacterial gene, " <i>Somat Cell Mol. Genet.</i> , 13:253-265, 1987.
	C49	Mann <i>et al.</i> , "Construction of a retrovirus packaging mutant and its use to produce helper-free defective retrovirus", <i>Cell</i> , 33:153-159, 1983.
	C50	Markowitz <i>et al.</i> , "A safe packaging line for gene transfer: Separating viral genes on two different plasmids", <i>J. Virol.</i> , 62:1120-1124, 1988.
	C51	Mercer, "Cell cycle regulation and the p53 tumor suppressor protein", <i>Critic. Rev. Eukar. Gene Express.</i> 2:251-263, 1992.
	C52	Mietz <i>et al.</i> , "The transcriptional transactivation function of wild-type p53 is inhibited by SV40 large T-antigen and by HPV-16 E6 oncoprotein, " <i>EMBO J.</i> , 11:5013-5020, 1992.
	C53	Mizrahi, "Production of human interferons: An overview", <i>Process Biochem.</i> , 18(4):9-12, 1983.
	C54	Mulligan, "The Basic Science of Gene Therapy", <i>Science</i> , 260:926-932, 1993.
	C55	Nguyen <i>et al.</i> , "Enhancement of gene transduction in human carcinoma cells by DNA-damaging agents, " " <i>Proceedings of the American Association of Cancer Research</i> , 37:347, Abstract No. 2369, 1996.
	C56	Nguyen <i>et al.</i> , "Gene therapy for lung cancer: Enhancement of tumor suppression by a combination of sequential systemic cisplatin and adenovirus-mediated p53 gene transfer, " <i>J. Thorac. Cardiovasc. Surg.</i> , 112(5):1372-1377, 1996.
	C57	Nguyen <i>et al.</i> , "Gene therapy for lung cancer: Enhancement of tumor suppression by a combination of systemic cisplatin and adenovirus-mediated p53 gene transfer, " <i>Proceedings of the American Association of Cancer Research</i> , 37:347-348, Abstract No. 2370, 1996.
	C58	Nicolas and Rubenstein, "Retroviral vectors", In: <i>Vectors: A survey of molecular cloning vectors and their uses</i> , Rodriguez and Denhardt (eds.), Stoneham: Butterworth, pp. 494-513, 1988.
	C59	Nicolau and Sene, "Liposome-mediated DNA transfer in eukaryotic cells", <i>Biochem. Biophys. Acta</i> , 721:185-190, 1982.

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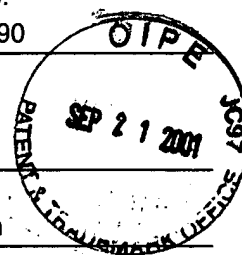
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Exam. Init.	Ref. Des.	Citation
	C60	Nicolau <i>et al.</i> , "Liposomes as carriers for <i>in vivo</i> gene transfer and expression", <i>Methods Enzymol.</i> , 149:157-176, 1987.
	C61	Paskind <i>et al.</i> , "Dependence of moloney murine leukemia virus production on cell growth", <i>Virology</i> , 67:242-248, 1975.
	C62	Perales <i>et al.</i> , "Gene transfer in vivo: sustained expression and regulation of genes introduced into the liver by receptor-targeted uptake," <i>Proc. Natl. Acad. Sci. USA</i> , 91:4086-4090, 1994.
	C63	Phillips <i>et al.</i> , <i>In: Large Scale Mammalian Cell Culture</i> (Feder, J. and Tolbert, W. R., eds.), Academic Press, Orlando, FL, U.S.A., pp. 87-95, 1985.
	C64	Ponder <i>et al.</i> , "Mouse hepatocytes migrate to liver parenchyma and function indefinitely after intrasplenic transplantation," <i>Proc. Natl. Acad. Sci. USA</i> , 88:1217-1221, 1991.
	C65	Potter <i>et al.</i> , "Enhancer-dependent expression of human k immunoglobulin genes introduced into mouse pre-B lymphocytes by electroporation", <i>Proc. Nat'l Acad. Sci. USA</i> , 81:7161-7165, 1984.
	C66	Ragot <i>et al.</i> , "Efficient adenovirus-mediated transfer of a human minidystrophin gene to skeletal muscle of mdx mice", <i>Nature</i> , 361:647-650, 1993.
	C67	Renan, "Cancer genes: current status, future prospects, and applicants in radiotherapy/oncology", <i>Radiother. Oncol.</i> , 19:197-218, 1990.
	C68	Ridgeway, "Mammalian expression vectors", <i>In: Rodriguez RL, Denhardt DT, ed. Vectors: A survey of molecular cloning vectors and their uses.</i> Stoneham: Butterworth, pp. 467-492, 1988.
	C69	Rippe <i>et al.</i> , "DNA-mediated gene transfer into adult rat hepatocytes in primary culture", <i>Mol. Cell Biol.</i> , 10:689-695, 1990.
	C70	Rosenfeld <i>et al.</i> , "Adenovirus-mediated transfer of a recombinant a1-antitrypsin gene to the lung epithelium <i>in vivo</i> ", <i>Science</i> , 252:431-434, 1991.
	C71	Rosenfeld <i>et al.</i> , " <i>In vivo</i> transfer of the human cystic fibrosis transmembrane conductance regulator gene to the airway epithelium", <i>Cell</i> , 68:143-155, 1992.
	C72	Roux <i>et al.</i> , "A versatile and potentially general approach to the targeting of specific cell types by retroviruses: Application to the infection of human cells by means of major histocompatibility complex class I and class II antigens by mouse ecotropic murine leukemia virus-derived viruses", <i>Proc. Natl. Acad. Sci. USA</i> , 86:9079-9083, 1989.

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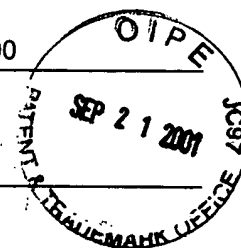
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	C73	Russell <i>et al.</i> , "DNA synthesis and topoisomerase inhibitors increase transduction by adeno-associated virus vectors," <i>Proc. Natl. Acad. Sci. USA</i> , 92:5719-5723, 1995.
	C74	Shaw <i>et al.</i> , "Induction of apoptosis by wild-type p53 in a human colon tumor-derived cell line," <i>Proc. Natl. Acad. Sci. USA</i> , 89:4495-4499, 1992.
	C75	Son & Huang, "Exposure of human ovarian carcinoma to cisplatin transiently sensitizes the tumor cells for liposome-mediated gene transfer," <i>Proc. Natl. Acad. Sci. USA</i> , 91:12669-12672, 1994.
	C76	Takahasi <i>et al.</i> , "Wild-type but not mutant p53 suppresses the growth of human lung cancer cells bearing multiple genetic lesions", <i>Cancer Res.</i> , 52:2340-2343, 1992.
	C77	Temin, "Retrovirus vectors for gene transfer: Efficient integration into and expression of exogenous DNA in vertebrate cell genome", <i>In: Gene Transfer</i> , Kucherlapati (ed.), New York: Plenum Press, pp. 149-188, 1986.
	C78	Top <i>et al.</i> , "Immunization with live types 7 and 4 adenovirus vaccines. II. Antibody response and protective effect against acute respiratory disease due to adenovirus type 7", <i>J. Infect. Dis.</i> , 124:155-160, 1971.
	C79	Tur-Kaspa <i>et al.</i> , "Use of electroporation to introduce biologically active foreign genes into primary rat hepatocytes", <i>Mol. Cell Biol.</i> , 6:716-718, 1986.
	C80	Varmus <i>et al.</i> , "Retroviruses as mutagens: Insertion and excision of a non-transforming provirus alter the expression of a resident transforming provirus", <i>Cell</i> , 25:23-36, 1981.
	C81	Wagner <i>et al.</i> , "Antisense gene inhibition by oligonucleotides containing C-5 propyne pyrimidines," <i>Science</i> , 260:1510-1513, 1990.
	C82	Weinberg, "Tumor suppressor gene", <i>Science</i> , 254:1138-1146, 1991.
	C83	Wilcock and Lane, "Localization of p53, retinoblastoma and host replication proteins at sites of viral replication in herpes-infected cells," <i>Nature</i> , 349:429-431, 1991.
	C84	Wong <i>et al.</i> , "Appearance of b-lactamase activity in animal cells upon liposome mediated gene transfer", <i>Gene</i> , 10:87-94, 1980.
	C85	Wu and Wu, "Evidence for targeted gene delivery to HepG2 hepatoma cells <i>in vitro</i> ", <i>Biochemistry</i> , 27:887-892, 1988.

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①	C86	Wu and Wu, "Liver-directed gene delivery" <i>Adv. Drug Delivery Rev.</i> , 12:159-167, 1993.
	C87	Wu and Wu, "Receptor mediated <i>in vitro</i> gene transfections by a soluble DNA courier system", <i>J. Biol. Chem.</i> , 262:4429-4432, 1987.
	C88	Yang <i>et al.</i> , "In vivo and <i>in vitro</i> gene transfer to mammalian somatic cells by particle bombardment", <i>Proc. Nat'l Acad. Sci. USA</i> , 87:9568-9572, 1990.
	C89	Yonish-Rouach <i>et al.</i> , "Wild-type p53 induces apoptosis of myeloid leukaemic cells that is inhibited by interleukin-6, " <i>Nature</i> , 352:345-347, 1991.
	C90	Zakut-Houri <i>et al.</i> , "Human p53 cellular tumor antigen: CDNA sequence and expression in COS cells, " <i>EMBO J.</i> , 4:1251-1255, 1985.
	C91	Zelenin <i>et al.</i> , "High-velocity mechanical DNA transfer of the chloramphenicol acetyltransferase gene into rodent liver, kidney and mammary gland cells in organ explants and <i>in vivo</i> ", <i>FEBS Lett.</i> , 280:94-96, 1991.
	C92	Zhang <i>et al.</i> , "Detection of Wild-type Contamination in a Recombinant Adenoviral Preparation by PCR <sup>TM</sup> ", <i>BioTechniques</i> , 18:444-447, 1995.
W	C93	Zhang <i>et al.</i> , "Safety Evaluation of Ad5CMV-p53 <i>In Vitro</i> and <i>In Vivo</i> ", <i>Human Gene Ther.</i> , 6:155-164, 1995.

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